

# **Linscomb & Williams**

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### **Barron's 2023 Top 100 RIA Firms List:**

For more information about the Barron's 2023 Top 100 RIA Firms List, please visit [Barron's website](#).

Barron's is a weekly magazine/newspaper published by Dow Jones & Company that conducted the survey. Advisory firms who wish to be ranked fill out a 102-question survey about their practice. Barron's verifies that data with the advisors' firms and with regulatory databases and then applies its rankings formula to the data to generate a ranking. The ranking reflects the volume of assets overseen by the advisory firms, revenues generated for the firms, and the quality of the advisory firms' practices. Ranking awarded in September 2023 based on data within a 12-month period. Linscomb & Williams is not aware of any undisclosed facts that would call into question the validity or appropriateness of the rating. Licensing fees paid post award for use of the ranking and Barron's logo.

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### **Financial Advisor 2023 RIA Survey and Ranking:**

For more information about the Financial Advisor 2023 RIA Survey and Ranking, please visit <https://www.fa-mag.com> and [FA 2023 RIA Survey and Ranking](#).

Financial Advisor (FA) delivers essential market information and strategies that advisors need to succeed

in their increasingly complex environment. FA focuses on sophisticated planning and investment strategies to help advisors better serve their affluent clients, as well as practice management ideas to help advisors build their firms. FA goes in-depth to challenge traditional planning wisdom by introducing readers to new approaches to help them better counsel clients. To achieve these goals, FA continuously seeks to bring together the best team of editors and contributing writers to provide the most compelling publication for the top decision-makers in the financial advisory field.

FA conducts two surveys annually: RIA survey and Independent Broker-Dealer survey. Advisors who qualify to participate complete and submit information voluntarily to FA's survey. For this survey, 495 firms were ranked.

FA's RIA survey is a ranking based on assets under management at year end of independent RIA firms that file their own ADV with the SEC. FA's RIA ranking orders firms from largest to smallest, based on AUM reported to us by firms. To be eligible for the ranking, firms must be independent registered investment advisors and file their own ADV statement with the SEC and provide financial planning and related services to individual clients. Firms must have at least \$500 million in assets under management as of December 31, 2022, to be included in the print edition of Financial Advisor Magazine's 2023 RIA survey.

Ranking was awarded on July 14, 2023. Licensing fees paid post award for use of the FA ranking logo in our marketing materials. The ranking covers the annual period ending December 31, 2022.

Hybrid RIA firms, corporate RIA firms and investment advisor representatives (IARs) are not eligible for this survey. A corporate RIA is a registered investment advisor most often formed by a broker-dealer that files an ADV with the SEC. Advisors who are affiliated with the broker-dealer or other entity's corporate RIA may offer investment advice. They are considered Investment Advisor Representatives (IARs) of the corporate RIA. All the assets under management of the IARs are included in the corporate RIA's ADV filed with the SEC.

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